



# Comparison of Large-bore Intercostal Catheter and Small-Bore Ambulatory Pleural Drain in the Management of Pleural Effusion

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## Abstract

**Background:** The use of conventional chest tube and underwater seal bottle (CCT) for pleural drainage (PD) makes the treatment expensive, immediately un-affordable by more than 80% of the patients in Nigeria, and also immobilizes the patients with the attendant risks. To curtail the above mentioned problems, some researchers have reported the use of one-way valve and drainage bag for PD. **Objective:** To evaluate and compare PD using urobag versus CCT. **Methodology:** Prospective randomized study of adult patients with pleural effusion who had PD with CCT and urobag respectively. **Results:** Before PD and at 30 minutes into the drainage, no patient in the two groups had normal respiratory rate (RR), but by 30 minutes before removal of the pleural drain, 80.5% in the CCT group and 69.2% in the urobag group had achieved normal RR. And by the 30 days follow up assessment, 100% in both groups maintained normal RR ( $p=0.459$ ). The equivalent figures evaluating the patients for peripheral arterial oxygen saturation showed subnormal saturation in all patients in the two groups at 30 minutes before PD, normal saturation in 22% of patients in CCT group versus zero percent in the urobag group at 30 minutes after commencement of PD ( $p<0.0001$ ). At 30 minutes before removal of pleural drain saturation was normal in 97.6% of the CCT and 84.6% of the urobag group. By 30 days follow up, oxygen saturation became normal in 97.6% of CCT group versus 100% of urobag group. Lung expansion assessed with chest radiographs at 30 minutes after pleural drainage 46.3% in the CCT group versus 53.8% in the urobag group had complete lung expansion. These figures rose to 97.6% and 100% respectively at 30 minutes before removal of pleural drain and at 30 days follow-up ( $p=0.823$ ). Duration of drainage of pleural effusion was less than 7 days in 92.7% of patients on CCT and in 92.3% of the urobag group. Length of hospital stay analysis showed that length of stay was shorter than 10 days in 97.6% and 100% of the CCT and urobag groups respectively. Complications attributable to the drainage systems were negligible. **Conclusion:** Pleural drainage with urobag and CCT all result in acceptable outcome of drainage.

**Keywords:** Chest tube, Pleural drainage, Underwater seal bottle, Urobag

## Introduction

Pleural drainage (PD) is a life-saving and frequently performed procedure in hospitals where the expertise and necessary tools are available to prevent possible complications like infection and respiratory compromise.<sup>[1-4]</sup> The presence of air, blood or fluids in the thoracic cavity counters the negative pressure within the pleural space and causes pulmonary collapse. In order to promote adequate lung expansion, as well as to re-establish cardio-respiratory function and negative intra-pleural pressure,<sup>[5]</sup> thoracic trauma and many pleural disease are commonly treated with tube thoracostomy.<sup>[1-3]</sup> The function of chest tubes is dependent on adequacy of placement, effectiveness of drainage and frequency of

re-evaluation of the patients and the chest drainage system. Therefore knowledge of the principles of chest tube drainage is important to evaluate adequately the function of PD.<sup>[6]</sup> Up to 85% of thoracic trauma would not need any more major surgical intervention than PD. This shows how important PD is when one considers that thoracic trauma alone accounts for about 50% of trauma-related deaths.<sup>[7]</sup>

PD can be done either surgically or percutaneously and indications include therapeutic drainage of pleural conditions such as pleural effusion, pneumothorax, haemothorax, chylothorax, and malignant effusions, as well as prophylaxis drainage of air, blood, and other fluids after chest surgery.<sup>[8-12]</sup> The conventional system of PD

currently in use is the same as that described by Kenyon in 1916.<sup>[13]</sup> This method consists of inserting the distal extremity of the chest tube into a liquid column, contained inside a flask called chest tube drainage bottle, whose cap has two openings: one for the passage of the chest tube and one for ventilation (air vent). This is known as a water-seal drainage system. The use of this system in the postoperative period following thoracic surgery was described and disseminated by Lilienthal in 1922.<sup>[14]</sup>

This system is efficient and safe but expensive. However, using these chest tube drainage bottles might cause risks, disadvantages and inconveniences for patients: they are heavy and large; they restrict the mobility of patients;<sup>[15]</sup> frequent clamping performed during transport might cause pulmonary collapse and formation of clots,<sup>[15]</sup> as well as tension pneumothorax; the placement of the chest tube drainage bottles, always kept in a level below the thorax of the patient, facilitates the disconnection of one of the connections; and the bubbling inside the chest tube drainage bottle, when connected to continuous suction, causes an unpleasant sound.<sup>[16]</sup> It is also to be emphasized that using this method in a pre-hospital environment is inappropriate, because it is not only difficult to keep the chest tube drainage bottle below the patient, but it is also necessary to perform frequent clamping inside the limited space of an ambulance.<sup>[15]</sup>

In 1968, Henry Heimlich idealized a one-way valve device in order to replace underwater seal drainage systems. The following advantages of the one-way valve device were described: it provides better mobility of patients; clamping is unnecessary during transportation; the valves keep working regardless of its position or level; nursing and medical teams can easily understand how it works; and it is safer and easier to clean.<sup>17</sup> Since then, interest in developing an alternative and adequate thoracic drainage system has been reported in the literature.<sup>[16,18-24]</sup> In Nigeria Aldon's drainage bags have been used in a centre without objective comparison with chest tube and underwater seal drainage system.<sup>[22,23]</sup> Urobag has a one-way valve which satisfies its suitability for PD. The cost of a chest tube and the chest tube drainage bottle in Nigeria currently is about seventeen thousand naira (N17,000) which is high for most Nigerians who may require PD as an emergency procedure. In comparison the cost of an urobag is about five hundred naira (N500). The aim of this study is to evaluate PD using an urobag for the treatment of pleural effusion and compare with the performance of conventional chest tube connected to underwater seal bottle.

## Patients and methods

The study is a prospectively randomized study to compare pleural effusion drainage using an urobag, conventional chest tubes connected to urobag and conventional chest tubes (thoracic catheters) connected to an underwater seal bottle. Ethical approval was obtained from the institutional health research ethics board.

Appropriate consented patients who were diagnosed to have non-malignant pleural effusion (such as post-pneumonic pleural effusion, pleural tuberculosis, and HIV-associated pleural effusion), haemothorax, pneumothorax or pneumo-haemothorax were randomized into the three groups for study as follows:

**Group 1 patients:** underwent PD using size 28Fr gauge conventional chest tubes (thoracic catheters) connected to an underwater seal bottle [CCT] figure 1.



**Figure 1: Drainage of left sided pleural effusion using conventional chest tube connected to underwater seal bottle**

**Group 2 patients:** underwent PD using the tubing of urobag attached to the drainage bag. This qualifies for small-bore tube because it is equivalent to size 15Fr gauge. The urobag used was the Medihel Urine bag (Anhui Medihel Co. Ltd, China) which has a tubing length of 85cm long and external diameter of 5mm (15Fr). The tube is attached to a two litre capacity collapsible bag across a one-way flutter valve. Figure 2



**Figure 2: Drainage of right sided pleural effusion using urobag**

**Group 3 patients:** underwent PD using size 28Fr gauge conventional chest tubes (thoracic catheters) connected to drainage bag.

All cases of massive pleural effusion had intermittent clamping/unclamping for drainage of 100-200ml of pleural fluid every hour to prevent acute re-expansion pulmonary oedema. The 30 minutes measurement was from when the accumulated pleural effusion was drained, that is the drainage was no longer under pressure; and not necessarily 30 minutes from insertion of the pleural drain.

This analysis is only on the patients that had pleural effusion and had PD with either CCT or urobag.

Outcome measures were respiratory rate, peripheral arterial oxygen saturation (SpO<sub>2</sub>), lung re-expansion, duration of the drainage, length of hospital stay, and any observed complications. Assessment of respiratory rate and SpO<sub>2</sub> were at 30 minutes before PD, 30 minutes following evacuation of accumulated pleural effusion, 30 minutes before removal of chest drain and 30 days after discharge. The peripheral arterial oxygen saturation considered normal was SpO<sub>2</sub> ≥ 95%. Each patient was submitted to chest X-rays for diagnosis, in the 30 minutes post chest tube insertion period, before removal of the chest tube and during the 30-day outpatient follow-up. And lung expansion was assessed in the post procedure and follow-up chest radiographs.

Complications considered in this study were complications related to the PD system such as tube obstruction due to blood/fibrin clots, preventing fluids from flowing out of the thoracic cavity, tube collapse preventing fluids from flowing out of the thoracic cavity, dislodgement/displacement of the tube from pleural cavity and disconnection of tube from the drainage bottle.

The relationship between variables were analysed using Fisher's exact test. Statistical tests were subjected to assumption testing to determine their data fitness. Using a two tailed-test, a P-value of < 0.05 and 95% confidence level were considered significant. Statistical analysis was performed using STATA Version 12 (StataCorp www.stata.com)

**Results**

**Table 1: Socio-demographic characteristics of the respondents**

Characteristics	Sex n (%)		Total (n=100)	Statistical indices
	Male (n=44)	Female (n=56)		
Age group				
Less than 20	3 (6.8)	3 (5.4)	6 (6.0)	$\chi^2 = 2.0842$ Df=6 P value= 0.912
20-29	7 (15.9)	5 (8.9)	12 (12.0)	
30-39	11 (25.0)	14 (25.0)	25 (25.0)	
40-49	10 (22.7)	12 (21.4)	22 (22.0)	
50-59	5 (11.4)	10 (17.9)	15 (15.0)	
60-69	6 (13.6)	8 (14.3)	14 (14.0)	
70 and above	2 (4.6)	4 (7.1)	6 (6.0)	
Marital status				$\chi^2 = 2.0842$ Df=2 P value= 0.190
Single	29 (65.9)	49 (75.0)	71 (71.0)	
Married	15 (34.1)	12 (21.4)	27 (27.0)	
Widowed	0 (0.0)	2 (3.6)	2 (2.0)	
Occupation				$\chi^2 = 1.9624$ Df=3 P value= 0.743
Civil servant	10 (22.7)	10 (17.9)	20 (20.0)	
Self employed	19 (43.2)	30 (53.6)	49 (49.0)	
Student	8 (18.2)	6 (10.7)	14 (14.0)	
Applicants	1 (2.3)	2 (3.0)	3 (3.0)	
Retired	6 (13.6)	8 (14.3)	14 (14.0)	
Types of intervention				$\chi^2 = 7.4675$ Df=2 P value= 0.028+
Conventional under water seal (CCT)				
Conventional with Urobag	24 (54.5)	36 (64.3)	60 (60.0)	
Urobag only	14 (31.8)	6 (10.7)	20 (20.0)	
	6 (13.6)	14 (25.0)	20 (20.0)	
Diagnoses				$\chi^2 = 2.733$ Df=4 P value= 0.603
Pleural effusion	31 (70.5)	38 (67.9)	69 (69.0)	
Heamothorax	4 (9.1)	8 (14.3)	12 (12.0)	
Pneumothorax	6 (13.6)	5 (8.9)	11 (11.0)	
Heamopnuemothorax	3 (6.8)	3 (5.4)	6 (6.0)	
Chylothorax	0 (0.0)	2 (3.6)	2 (2.0)	

**Table 2: Comparison of proportion of respondents with pleural effusion who recovered after CCT and Urobag interventions**

Characteristics	CCT (n=41)	Urobag (n=13)	Total (n=54)	P value
Respiratory rate				0.459
30 minutes before chest tube drainage				
Normal	0 (0.0)	0 (0.0)	0 (0.0)	
30 minutes after chest tube				
Normal	0 (0.0)	0 (0.0)	0 (0.0)	
30 minutes Before removal of chest tube				
Normal	33 (80.5)	9 (69.2)	42 (80.8)	
30 days after removal				
Normal	41 (100.0)	13 (100.0)	54 (100.0)	
SpO <sub>2</sub> (%)				

30 minutes before chest tube drainage Normal	0 (0.0)	0 (0.0)	0 (0.0)	<0.0001
30 minutes after chest tube drainage Normal	9 (22.0)	0 (0.0)	9 (16.7)	
30 minutes before removal Normal	40 (97.6)	11 (84.6)	51 (98.1)	
30 days after removal Normal	40 (97.6)	13 (100.0)	53 (98.1)	
Lung expansion				0.823
30 minutes before chest tube drainage Normal	0 (0.0)	0 (0.0)	0 (0.0)	
30 minutes after chest tube drainage Normal	19 (46.3)	7 (53.8)	26 (43.1)	
30 minutes before removal Normal	40 (97.6)	13 (100.0)	53 (98.1)	
30 days after removal Normal	40 (97.6)	13 (100.0)	53 (98.1)	
Duration of drainage				1.000
Less than 7 days	38 (92.7)	12 (92.3)	50 (92.6)	
7 days	3 (7.3)	1 (7.7)	4 (7.4)	
Length of Hospital stay				1.000
Less than 10 days	40 (97.6)	13 (100.0)	53 (98.2)	
10 days	1 (2.4)	0 (0.0)	1 (1.8)	

One hundred patients with mean age 43.8 ( $\pm 15.9$ ) who underwent PD were studied consisting of 44 males and 56 females (male female ratio=1:1.3). Mean age of males was lower than that of females (41.6 ( $\pm 15.9$ ) versus 45.4 ( $\pm 15.9$ )) but with no statistically significant difference ( $P=0.24$ ). Young and middle aged adults constituted 74% of the patients and the frequency in the age stratification was approximate among the two sexes with no statistical difference (table 1). Majority (69.0%) of the patients were employed while the remaining 31.0% consisted of students (14%), retired workers (14%), and applicants (3%). The patients were treated for pleural effusion in 69%, traumatic haemothorax in 12%, spontaneous and traumatic pneumothorax in 11%, traumatic haemopneumothorax in 6% and chylothorax in 2%. Sixty percent of the patients underwent PD with conventional chest tube connected to under-water seal bottle, 20% underwent PD with one-way valved urobag, and another 20% underwent PD with conventional chest tube connected to one-way valved urobag. The last group was not analysed further and this analysis is only on the patients that had pleural effusion and had PD with either CCT or urobag.

Among the patients with pleural effusion, 41 of them were treated with conventional chest tube connected to under-water seal bottle (CCT) while 13 treated with urobag. Before PD and at 30 minutes into the drainage, no patient in the two groups had normal respiratory rate, but by 30 minutes before removal of the pleural drain, 80.5% in the CCT group and 69.2% in the urobag group had achieved normal respiratory rate. The difference was not statistically significant ( $p=0.459$ ). However by the 30 days follow up assessment, 100% in both groups maintained normal respiratory rate. The equivalent figures from assessing the patients for SpO<sub>2</sub> showed subnormal saturation in all patients in the two groups 30 minutes before PD, normal saturation in 22% of patients in CCT group versus zero percent in the urobag group by 30 minutes following commencement of PD. The difference was statistically significant ( $p<0.0001$ ). And at 30 minutes before removal of pleural drain there was normal saturation in 97.6% of patients in the CCT group and 84.6% of the urobag group. However by 30 days follow up, normal saturation was recorded in 97.6% of CCT group

versus 100% of urobag group. The difference was not statistically significant. Lung volume before PD assessed in the pre-intervention chest radiograph was partially collapsed in all patients in the two arms of the study. Lung re-expansion assessed at 30 minutes after PD showed 46.3% in the CCT group versus 53.8% in the urobag group had complete lung re-expansion. These figures rose to 97.6% and 100% respectively at 30 minutes before removal of pleural drain and at 30 days follow-up. The difference was not statistically significant ( $p=0.823$ ).

Comparison of the duration of PD and length of hospital stay amongst the two arms of treatment showed that drainage was completed within seven days in 92.7% and beyond seven days in 7.3% of the CCT group, while length of hospitalisation was less than ten days in 97.6% and beyond ten days in 2.4%. The equivalent figures in the urobag group were drainage within seven days (92.3%) and beyond seven days (7.7%); and hospital stay less than ten days (100%). The differences were not statistically significant ( $p=1.000$ ).

## Discussion

Large bore chest tubes have external diameter greater 20Fr gauge while small bore chest tubes are smaller than 20Fr gauge.<sup>[8]</sup> The large bore chest tubes are usually connected to underwater seal drainage bottle which represented the CCT group of this study. This system renders the patient relatively immobile with attendant risks of development of deep vein thrombosis, pulmonary embolism and sudden death,<sup>[21]</sup> whereas the small bore chest drains are attached to ambulatory drainage bag (Urobag group of this study) and avoids the risks of immobilisation but still offers comparable efficacy.<sup>[21]</sup> However the drawbacks of using urobag as pleural drain include the potential for accidental dislodgement [which can be prevented by a more rigorous anchoring of the tube], inability to apply negative pressure suction if necessary, and absence of side fenestrations for enhanced drainage [which have to be created after cutting off the stiff end].

In this study, normalisation of respiratory rate was only better in the CCT group at the 30 minutes before removal of chest drain assessment period (80.5% versus 69.2%). Although the difference was not statistically significant ( $p=0.459$ ), respiratory rate can be affected by chest pain, anxiety, fever and primary pulmonary disease. At 30 days follow up all patients in the two groups had normal respiratory rate. Previous studies comparing large and small bore pleural drains did not analyse respiratory rate rather looked at effectiveness in terms of duration of drainage and complications.<sup>[25,26]</sup>

Peripheral arterial oxygen saturation (SpO<sub>2</sub>) showed initial superiority in the CCT group at both 30 minutes following commencement of PD (22.0% versus 0%) and at 30 minutes before removal of pleural drain (97.6% versus 84.6% with  $p<0.0001$ ). However at 30 days follow-up, urobag group was better while the CCT group remained same (100% versus 97.6%). SpO<sub>2</sub> can be negatively affected by the quality of breathing including the efficiency of gaseous exchange. Therefore the pulmonary conditions that caused the pleural effusion might have a long term effect on pulmonary functions and explains the non-return of SpO<sub>2</sub> in some patients though fully treated for pleural effusion.

Return of complete lung expansion assessed with serial chest radiographs was consistently better in the urobag group at the three reassessment periods (53.8% versus 46.3%, 100% versus 97.6% and 100% versus 97.6% respectively). The severity of the lung disease, bronchial blockade and thickened visceral pleura can all affect re-expansion of the lung. Since chest computerised tomographic scan was not done as a routine investigation in this study it was not known why a particular patient in the CCT group had persistent collapsed lung. This aspect of outcome measure has corroborated the study by Fysh et al.<sup>[5]</sup> The analysis of duration of PD and length of hospitalisation because of pleural effusion among the two treatment groups also proved the acceptability of the two treatment methods. PD was completed in almost all patients (92.7% vs 92.3%) within seven days, while length of hospitalisation was less than 10 days in 97.6% vs 100% of patients in the CCT and urobag treatment groups respectively. The short and comparable periods of PD and hospital stay among the two groups has proven that urobag used in this study as ambulatory small-bore pleural drain may be as effective as the large-bore thoracic catheter connected to underwater seal bottle. This has been postulated by previous studies.<sup>[1,5,6,8,11,15,16,18-26]</sup> At 30 days follow up assessment, 100% of the patients in the urobag group had normal peripheral arterial oxygen saturation (SpO<sub>2</sub>) and full lung expansion. The last assessment before pleural drain removal had still shown full lung expansion in all patients in the urobag group but normal SpO<sub>2</sub> in 84.6%. The explanation for this observation include the fact chest pain caused by the presence of insitu pleural drain could make some patients maintain shallow depth of breathing and incomplete aeration of all bronchopulmonary segments and therefore subnormal SpO<sub>2</sub> in the few (15.4%) patients. In the CCT arm, one patient (2.4%) persistently had incompletely expanded lung and subnormal SpO<sub>2</sub> at the last assessment before removal of chest tube and during the 30 days follow up assessment. This patient had trapped lung and needed thoracotomy and decortication which the patient was subsequently advised.

Observed complications were negligible among the two treatment groups, and they included two cases of tube obstruction by fibrin clot (one) and collapse (one) in the urobag group and one case of tube disconnection from the drainage bottle in the CCT group.

Superiority of one size of tube over the other could further be substantiated with better designed multicentre research involving larger number of patients especially that it is known that the risks of prolonged immobilisation that exist when PD is done with large-bore thoracic catheter connected to underwater seal bottle do not exist with ambulatory small-bore pleural drain utilising urobag.

## Conclusion

Pleural drainage with urobag and CCT all result in acceptable outcome of drainage.

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